

Capital Protection Bond

Application Form

When completing this application form please note the following:

1. The application form includes a number of questions that are designed to protect you and Legal & General from financial crime.
2. All terms, benefits and options referred to are described in our product literature.
3. Please use BLOCK CAPITALS throughout.
4. If you make a mistake, please correct the error by crossing out (do not use correction fluid) and initial the change.
5. Please ensure you sign and date the Declaration in Part 7.
6. You should ensure you have read and understood all literature relating to the Bond, in particular the Key Features, before you proceed.



PART 1**Bond type**Tick **ONE** box onlyCapital Protection Growth Bond (annual and final bonus version) **OR**Capital Protection Income Bond (annual bonus only version) **PART 2****Applicant details**

If the policies are to be issued to the trustees of an existing trust, please complete sections A and C

If the policies are to be issued under a new trust, please complete sections B and C

The Bond will be issued as a series of life assurance policies.

Are the policies to form part of an existing or new trust arrangement? No Go to section C
Yes Go to section A or B

A. The policies are to be issued to the trustees of an existing trustTick here Name of trust

All the trustees' details must be entered in section C below.

A certified copy of the trust must be provided.

OR**B. The policies are to be issued under a new trust**Tick here

Complete full applicant details in section C below and complete the appropriate trust form.

The person(s) making the investment into the Bond is/are the applicant(s); the appointment of trustees takes place after completion of the processing of the Bond. Therefore, the trustees do not complete section C, unless they are also an applicant.

All applicants must complete section C**C. Applicant(s)**

To protect you and us from financial crime, we may need to confirm your identity from time to time. We may do this by using reference agencies to search sources of information about you (an identity search). This will not affect your credit rating. If this identity search fails, we may ask you for documents to confirm your identity.

First or sole applicant			
Mr/Mrs/Miss/Ms/other	Surname		
Forename(s) (in full)			
Date of birth			
Main residential address (*See note below)			
Postcode			
Occupation			
Annual salary/income band			
£0 - £14,999	<input type="checkbox"/>	£15,000 - £29,999	<input type="checkbox"/>
£30,000 - £59,999	<input type="checkbox"/>	£100,000 - £149,999	<input type="checkbox"/>
£60,000 - £99,999	<input type="checkbox"/>	£150,000 +	<input type="checkbox"/>

* Please note that if the applicant has lived at the above address for less than three months, please also provide their previous address in the 'Notes/Other instructions' section on pages 9 and 10.

Applicants must be at least aged 18 or over
Applicants must sign and date the Declaration in Part 7

Applicant details continued

Second applicant					
Mr/Mrs/Miss/Ms/other			Surname		
Forename(s) (in full)					
Date of birth					
Main residential address (*See note below)					
Postcode					
Occupation					
Annual salary/income band					
£0 - £14,999	<input type="checkbox"/>	£15,000 - £29,999	<input type="checkbox"/>	£30,000 - £59,999	<input type="checkbox"/>
£60,000 - £99,999	<input type="checkbox"/>	£100,000 - £149,999	<input type="checkbox"/>	£150,000 +	<input type="checkbox"/>

Third applicant					
Mr/Mrs/Miss/Ms/other			Surname		
Forename(s) (in full)					
Date of birth					
Main residential address (*See note below)					
Postcode					
Occupation					
Annual salary/income band					
£0 - £14,999	<input type="checkbox"/>	£15,000 - £29,999	<input type="checkbox"/>	£30,000 - £59,999	<input type="checkbox"/>
£60,000 - £99,999	<input type="checkbox"/>	£100,000 - £149,999	<input type="checkbox"/>	£150,000 +	<input type="checkbox"/>

Fourth applicant					
Mr/Mrs/Miss/Ms/other			Surname		
Forename(s) (in full)					
Date of birth					
Main residential address (*See note below)					
Postcode					
Occupation					
Annual salary/income band					
£0 - £14,999	<input type="checkbox"/>	£15,000 - £29,999	<input type="checkbox"/>	£30,000 - £59,999	<input type="checkbox"/>
£60,000 - £99,999	<input type="checkbox"/>	£100,000 - £149,999	<input type="checkbox"/>	£150,000 +	<input type="checkbox"/>

If there are more than four applicants, please provide their appropriate details in the Notes/Other instructions section

* Please note that if the applicant(s) have lived at the above address for less than three months, please also provide their previous address in the 'Notes/Other instructions' section on pages 9 and 10.

Applicant details continued

D. Contact details

This should be an applicant named in section C. If not, state the reason why in the space provided

	Phone number(s)
Name	Day
Address	Evening
	Other
Postcode	
Reason (if not an applicant named in section C)	

PART 3

At least one life assured must be aged 89 or less

Life assured details

The policy benefits are payable on encashment by the owner(s) stated in Part 2, or on the death of the person, or persons, named in this section (the 'life assured'). If more than one person is named as a life assured, the benefits are payable on the death of the last to die. The life assured is to be:

Complete section A or B.

A. The applicant(s) named in Part 2, section C

Tick here

OR

B. Other. Please give full details. Up to six lives are allowed

The life (or lives) assured does/do not need to sign the Declaration in Part 7, unless also an applicant named in Part 2

	First or sole life	Second life
Mr/Mrs/Miss/Ms/other		
Surname		
Forename(s) (in full)		
Date of birth		
	Third life	Fourth life
Mr/Mrs/Miss/Ms/other		
Surname		
Forename(s) (in full)		
Date of birth		
	Fifth life	Sixth life
Mr/Mrs/Miss/Ms/other		
Surname		
Forename(s) (in full)		
Date of birth		

A. New investments

Minimum £5,000

Amount of investment £

The charge for the 5 Year Capital Guarantee Option will be shown on your personal illustration.

Please make cheques payable to Legal & General Assurance Society Limited
 Would you like to select the 5 Year Capital Guarantee Option? Yes No
 Go to Section C.

B. Additional investments

Minimum £5,000

Amount of investment £

Additional investments must be whole £s only (minimum £5,000)

Is this an additional investment into an existing Capital Protection Bond with Legal & General dated after 1 May 2008? Yes No

If Yes, please state existing contract number(s)

If your existing Capital Protection Bond has the 5 Year Capital Guarantee Option, additional investments will be placed into a separate bond and will not automatically receive the 5 Year Capital Guarantee.

The charge for the 5 Year Capital Guarantee Option will be shown on your personal illustration.

Would you like to select the 5 Year Capital Guarantee Option? Yes No
 Go to Section C.

C. Details of bank/building society account used to make payment

Please provide details of the bank/building society account used to make payment: (If funds are being provided in the form of a bank or building society cheque/bankers draft, please provide details of the personal account/other investment from which the money was drawn.)

Full account name

Name and full postal address (including country) of bank/building society branch/other

Name of bank/building society/other

Address

Postcode

Bank/building society account number/roll number/other

Branch sort code

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D. Investment source

If more than four applicants, please provide appropriate details in the Notes/Other instructions section

What is the source of the investment? This section only applies to applicants funding the investment.
 Tick all boxes which may apply.

	First or sole applicant	Second applicant	Third applicant	Fourth applicant
Accumulated savings from salary	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Retirement fund	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Inheritance	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Sale of property	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Sale or maturity of previous investments	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Divorce settlement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other*	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

* If Other, please specify details in the Notes/Other instructions section. Where funded by a third party, please explain circumstances.

PART 5

Regular withdrawals

If making an additional investment into an existing Bond, the regular withdrawals required from the overall investment must be made clear

Please complete ALL sections A,B,C.

This section should only be completed if regular withdrawals from the Bond are required.

A. Type of withdrawals required – Tick ONE box only

Bonus linked

(With Profits Income Bond only)

OR

% of the amount invested → Percentage per annum % Maximum 7.5%

OR

Fixed amount → Amount per annum £ Maximum 7.5% of the investment amount

OR

% of the Bond's value → Percentage per annum % Maximum 7.5%

Only one type of regular withdrawal can apply at any one time. Minimum payment £20 per month, £50 other frequencies

B. Frequency of payments

Once a month Once every three months Once every six months Once a year

C. Commencement of payments

Starting on

(This date must be at least one payment frequency after the completion date of your Bond.)

PART 6

Payment details

Please complete if you have requested payment of any regular withdrawals in Part 5. Payments will be made direct to a bank or building society account. Please ensure that the account is able to receive direct credit payments.

Name and full postal address of your bank or building society branch

Name of bank/building society
Address
Postcode

Name(s) of account holder(s)

Bank or building society account number

Building society roll number (if applicable).

Branch sort code

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This section must be completed. It must be read, signed and dated by all the applicants in Part 2.

I/We declare that the information given in this application is, to the best of my/our knowledge and belief true, and complete.

I/We understand that my/our policies will be governed by the policy provisions, of which a copy is available on request. A copy of the completed application form(s) is also available on request.

I/We request that the policies are issued in my/our name(s) subject to the policy provisions, and understand that this declaration shall be the basis of the proposed contract. I/We further understand that all benefits payable under the policies will be payable to the person(s) named.

Important please read: Data Protection

Use of personal information: Legal & General takes your privacy very seriously. We use the personal information collected via this form and any other information that you provide to us ('your information') for the purposes of:

1. Providing you with our products and services and dealing with your enquiries and requests;
2. Underwriting and administering your policy including processing claims;
3. Carrying out market research, statistical analysis and customer profiling; and
4. Sending you marketing information (by post, telephone, email and SMS) about products and services of companies in the Legal & General group and of third parties whose products and services Legal & General offers to its customers.

By signing below, you agree to receive the information as described in 4 above, unless you tell us otherwise by ticking this box .

Given the global nature of our business, we may need to transfer your information to countries outside the European Economic Area in order to provide our services to you.

Disclosures: We will disclose your information to other companies within the Legal & General group of companies, regulatory bodies, law enforcement agencies, future owners of our business, suppliers we engage to process data on our behalf and when necessary, to a reinsurer.

If you make a claim, we will share your information (where necessary) with other insurance companies to prevent fraudulent claims.

Legal & General will check my/our details with fraud prevention agencies. If false or inaccurate information is provided and fraud is identified details will be passed to fraud prevention agencies. Law enforcement agencies may access and use this information.

Legal & General and other organisations may also access and use this information to prevent fraud and money laundering, for example, when:

- Checking details on applications for credit and credit related or other facilities;
- Managing credit and credit related accounts or facilities;
- Recovering debt;
- Checking details on proposals and claims for all types of insurance;
- Checking details of job applicants and employees;
- Legal & General and other organisations may access and use from other countries the information recorded by fraud prevention agencies.

I/We can contact Legal & General at: Group Financial Crime, Legal & General House, Kingswood, Tadworth, Surrey KT20 6EU if I/we want to receive details of the relevant fraud prevention agencies.

I/We have a legal right to see these details.

If you have been dealing with a financial adviser, we will give him information about your product and, where appropriate, provide him with other information about your dealings with us to enable him to give you informed advice.

Where you have been introduced to us by a bank or a building society, we will share your information with them to enable them to:

- (a) carry out market research, statistical analysis and customer profiling; and
- (b) send you marketing information about their products and services and products and services of companies in the Legal & General group and of third parties whose products and services Legal & General offers to its customers.

By signing below, you agree to receive the information as described in (b) above by post or telephone, unless you tell us otherwise by writing to Legal & General Assurance Society, P O Box 274, Bangor, BT19 7WZ.

Legal & General is required to verify the identity of the beneficiaries of the Trust in order to protect them, you and Legal & General from financial crime. By signing this application form, the trustees are confirming that they have received the consent of the named beneficiaries to have their information used to verify their identity.

Access: You have the right to ask for a copy of your information in return for payment of a small fee. To obtain a copy of your information, please write to us at the address shown on your most recent customer correspondence.

I/we agree to the use of my/our information as set out above.

I/we confirm that I/we have read and understood the current Key Features of the Bond.

First or sole applicant		Second applicant	
Signature	Date	Signature	Date
Third applicant		Fourth applicant	
Signature	Date	Signature	Date

The application is not valid without all signature(s) and date(s).

If there are more than four applicants, please provide a signature and date alongside the details captured in the Notes/Other instructions section

Sections A and B must be completed

Please note that Legal & General requires trustees to have their identity verified if they wish to give instruction

A. Confirmation of verification of identity

(This confirmation should **not** be completed by Legal & General appointed representatives or employees.)

Who has been verified?

Full name: Applicant/Trustee	Full name: Applicant/Trustee
Full name: Applicant/Trustee	Full name: Applicant/Trustee
Full name: Applicant/Trustee	Full name: Applicant/Trustee

For the verification of attorney(s), third party donor(s), corporate and other non-personal entities, please use the Confirmation of Verification of Identity certificates which are available on our Legal & General Adviser Centre.

I/We confirm that:

- the name, address and date of birth information contained in this application was obtained by me/us in relation to the customer(s);
- the evidence I/we have obtained to verify the identity of the customer(s): (Tick one box only)
 - meets the standard evidence set out within the guidance for the UK Financial sector issued by the JMLSG; or
 - exceeds the standard evidence (written details of the further verification evidence taken are attached to this application). If certain circumstances apply to your customer (for example, is based overseas or is investing over £250,000) please also attach certified copies of the underlying document(s) used to verify their identity.

Explanatory notes

- Each party that you have verified the identity of must be named above (for example each trustee, where appropriate). Where a third party is involved, for example a payer of contributions who is different from the customer, the identity of that person must also be verified, and a confirmation provided.
- This confirmation cannot be used to verify the identity of any customer that falls into one of the following categories:
 - Those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
 - Those who have been subject to Simplified Due Diligence under the Money Laundering Regulations; or
 - Those whose identity has been verified using the source of funds as evidence.

Signature:

Name:

Position:

Date:

Full name of regulated firm (or sole trader):

Financial Services Authority (FSA) reference number:

Legal & General Assurance Society Limited
Registered in England No. 166055
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A member of the Association of British Insurers
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