

# Your ISA Transfer Application Form 2019/2020



Please ensure you have read the current version of the following documents before you make any investment decisions: Key Investor Information, Terms and Conditions and A Guide to Investing With Us. You can find all the latest documents by logging on to [legalandgeneral.com/investments](https://legalandgeneral.com/investments). If you don't understand at any point or would like us to send the documents to you, please call **0370 050 0955** and we'll be happy to help. Call charges will vary. We may record and monitor calls. The Terms and Conditions form the basis of our agreement with you.

When your ISA has been transferred, if you have not already used up your ISA limit, you can pay in more money either as a lump sum or by monthly direct debit. You will need to fill in an application form to do this so please contact us if you need one.

## 1. Your Details

Title	Mr <input type="checkbox"/>	Mrs <input type="checkbox"/>	Ms <input type="checkbox"/>	Miss <input type="checkbox"/>	Other <input type="text"/>			
Forename(s) (in full, not just initials)	<input type="text"/>							
Surname	<input type="text"/>							
Address (permanent, UK residential address – we cannot accept c/o details)	<input type="text"/>							
	Postcode							
Postcode	<input type="text"/>							
Contact tel. number	<input type="text"/>							
Male	<input type="checkbox"/>							
Female	<input type="checkbox"/>							
If you have lived at this address for less than three months, please provide your previous address details	<input type="text"/>							
	<input type="text"/>							
	Postcode							
Postcode	<input type="text"/>							
Date of birth (DD/MM/YYYY)	D	D	M	M	Y	Y	Y	Y
Email address	<input type="text"/>							
Do you have an existing investment with Legal & General?	Yes <input type="checkbox"/>	No <input type="checkbox"/>						
If Yes, please provide your client number	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Are you a UK tax resident?	Yes <input type="checkbox"/>	No <input type="checkbox"/>						
Do you have a UK National Insurance number?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	If Yes, please provide your UK National Insurance number	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Do you have a UK (HMRC) Unique Taxpayer Reference (UTR)?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	If Yes, please give your UTR	<input type="text"/>				

FOR OFFICE USE ONLY			
Receipt number	Client number	Deal reference	Agent number/stamp
<input type="text"/>	<input type="text"/>	<input type="text"/>	02444461 N090AT02



## 1. Your Details continued

If you are resident outside the UK for tax purposes

Please state in which country or countries you are resident for tax purposes

Please write your tax identification number

Please tick here if you do not have a tax identification number

<input type="text"/>	<input type="text"/>	<input type="checkbox"/>
<input type="text"/>	<input type="text"/>	<input type="checkbox"/>
<input type="text"/>	<input type="text"/>	<input type="checkbox"/>

If you have ticked that you do not have a tax identification number, please provide the reason here

Please tick here if you are not resident in any country for tax purposes

Please provide your town and country of birth

Town

Country

<input type="text"/>	<input type="text"/>
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Are you a:

Legal & General employee?

Legal & General shareholder?

Relative or friend of a Legal & General employee/shareholder?

(If you ticked the relative or friend box, please write their name below)

Name of employee/shareholder

## 2. Your ISA – Transfer Request

Please tick where applicable

**For ISAs taken out in this tax year:**

We would like to know where you are transferring your funds from. You can tick both boxes if your ISA includes both elements. Please note it is optional to tick these boxes.

**For ISAs taken out in previous tax years:**

We would like to know where you are transferring your funds from. You can tick both boxes if your ISA includes both elements. Please note it is optional to tick these boxes.

I wish to transfer my 2019/2020 ISA and continue to subscribe each subsequent tax year unless I instruct you otherwise

This transfer is from a cash ISA

This transfer is from a stocks and shares ISA

I wish to transfer my previous ISA(s)

This transfer is from a cash ISA

This transfer is from a stocks and shares ISA

### 3. Existing ISA Details

I wish to transfer

the whole of my existing ISA

part of my existing ISA

Note: Some managers may not allow part holdings to be transferred. If this is the case, we will contact you again to see how you wish to proceed. If you wish to transfer an ISA taken out in **this** tax year, you must transfer the whole ISA.

Details/account number

If transferring part of your ISA, please give details of how much you want to transfer opposite.

Name/address of current ISA manager

Postcode

Telephone number

### 4. Your ISA Investment – Where would you like it Invested?

#### Actively managed funds

	Distribution units	Accumulation units
Growth	%	%
UK Smaller Companies	%	%
UK Special Situations	%	%
UK Alpha	n/a	%
UK Equity Income	%	%
Worldwide	%	%
European	%	%
Asian Income	%	%
Fixed Interest	%	%
Future World Climate Change Equity Factors	%	%
High Income	%	%
Managed Monthly Income	%	%
Distribution	%	%

#### Mixed Investment funds

Mixed Investment 0-20%	%	%
Mixed Investment 0-35%	%	%
Mixed Investment 20-60%	%	%
Mixed Investment 40-85%	%	%

#### Mixed Investment Income funds

Mixed Investment Income 0-35%	%	%
Mixed Investment Income 20-60%	%	%

#### Future World Multi-Index funds

Future World Multi-Index 4	%	%
Future World Multi-Index 5	%	%

#### Multi-Index funds

Multi-Index 3	%	%
Multi-Index 4	%	%
Multi-Index 5	%	%
Multi-Index 6	%	%
Multi-Index 7	%	%

#### Multi-Index Income funds

Multi-Index Income 4	%	%
Multi-Index Income 5	%	%
Multi-Index Income 6	%	%

#### Multi Manager funds

Multi Manager Growth	%	%
Multi Manager Income	%	%
Multi Manager Balanced	%	%

#### Index-tracking funds

UK Index	%	%
UK 100 Index	%	%
European Index	%	%
US Index	%	%
Japan Index	%	%
Pacific Index	%	%
Global Emerging Markets Index	%	%
Global Equity Index	%	%
Global 100 Index	%	%
Global Technology Index	n/a	%
Global Health and Pharmaceuticals Index	%	%
International Index	%	%
Future World Gender in Leadership	%	%
Future World ESG Developed Index	%	%
Future World ESG UK Index	%	%

#### Specialist funds/other

UK Property	%	%
Ethical	%	%

#### Totals %

You can obtain the current version of our Key Investor Information documents for our funds at [legalandgeneral.com/investments](https://www.legalandgeneral.com/investments) or you can contact us on **0370 050 0955** if you would like us to send them to you. You **MUST** read the documents before submitting your investment.

## 5. Nominated Bank/Building Society Account

Please provide us with details of your nominated bank or building society account (we need these to make future income or withdrawal payments to you). The account must be held, or jointly held, by the named applicant(s) at a UK branch of a UK bank or building society.

Bank or building society name

Address

Branch sort code

Bank/building society account number

Name(s) of account holder(s)

Roll number (if applicable)

Postcode									

## 6. General Declaration

Please also read, sign and date the transfer declaration.

**For current tax year ISA transfers:**

- I wish to transfer my existing ISA and subscribe to this stocks and shares ISA for the tax year 2019/2020 and each subsequent tax year\* until further notice;
- all subscriptions made, and to be made, belong to me;
- I am 18 years of age or over;
- I am not a US person;
- I have not subscribed, and will not subscribe, more than the overall subscription limit in total to a cash ISA, a stocks and shares ISA, an innovative finance ISA and a lifetime ISA in the same tax year;
- I have not subscribed, and will not subscribe, to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA; and
- I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings and Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a registered civil partnership with, a person who performs such duties. I will inform Legal & General if I cease to be so resident or to perform such duties or be married to, or in a registered civil partnership with, a person who performs such duties.

\* This allows flexibility to continue investments into future tax years without making a new ISA declaration.

Please note: Details about residence are available from your tax office or on the [www.gov.uk](http://www.gov.uk) website

**For all ISA transfers:**

I authorise Legal & General to hold my cash subscription, ISA investments, interest, dividends, and any other rights or proceeds in respect of those investments and any other cash and to make on my behalf any claims for tax relief in respect of ISA investments.

**Important please read: Data protection**

**Data Protection**

Legal & General takes your privacy very seriously. Under data protection legislation, we have classified Legal & General (Unit Trust Managers) Limited (the "Manager") as a 'data controller' (and in the case of an investment in the OEIC, the Manager and the OEIC as "joint data controllers").

This means that we are subject to certain obligations relating to how we process personal data. These obligations include,

without limitation, providing individuals with certain information regarding how we process their personal data.

We will use the personal data you have provided in this application form, including your name, age, contact details, bank account details, transactions and the invested amount in accordance with all applicable data protection laws and our Privacy Policy which is available at [lgim.com/UTMprivacy](http://lgim.com/UTMprivacy) (or otherwise available upon request).

Our Privacy Policy sets out, amongst other things, the purpose or purposes for which your personal data is collected and intended to be processed and also contains any other information prescribed by data protection legislation.

**Communication**

In order to process your personal data for the purposes of marketing (which may be carried out by the Manager or entities within the Legal & General group of companies), the Manager, acting as a data controller seeks your consent.

Please tick if you wish to receive marketing communications about the products and services of the Manager and the Legal & General group of companies (including news and exclusive offers) by email.

You may, at any time, object to the use of your personal data and withdraw your consent for the purposes of direct marketing at any time and free of charge by writing to the Data Protection Officer by letter and/or email at the address(es) provided in the Privacy Policy.

**Access**

You have the right to ask for a copy of your information. To obtain a copy of your information, please write to us at the above address.

**I confirm that:**

**The information given in this application is true and correct to the best of my knowledge and belief and I will inform Legal & General without delay of any change in my circumstances affecting any of the information in this form.**

**I agree to the use of my information as set out above.**

**I understand that a copy of the completed application form is available on request.**

**I understand that all payments will be made to the bank or building society account I have nominated.**

**I have read the declaration carefully.**

**I accept that the Terms and Conditions form the basis of my agreement with Legal & General.**

Please tick this box to confirm you've received the current Key Investor Information document(s) for the fund(s) you wish to invest in. If you've not received the document(s), please see the front cover for information on how to obtain a copy. We cannot accept your application if you have not ticked this box.

Signature

Date

D	D	M	M	Y	Y	Y	Y
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## 7. Transfer Declaration

### Instruction to existing manager(s)

I authorise the manager of my existing ISA(s) to:

1. Sell my 2019/2020 ISA assets as detailed **and/or**
2. Sell my previous tax year(s) ISA(s) assets as detailed.
3. Transfer the cash realised to the new ISA manager, which will be:  
Legal & General (Unit Trust Managers) Limited, PO Box 6080,  
Wolverhampton WV1 9RB.  
HM Revenue & Customs Ref Z1845

4. To provide Legal & General (Unit Trust Managers) Limited with the information, written or non-written, concerning the ISA and to accept any instructions from them relating to the ISA being transferred.

Note: By signing this declaration I understand that a copy of this form will be provided to my existing ISA manager, in order to demonstrate that I have consented to the transfer.

Signature

Date



Please send your completed application to:  
Legal & General Investment Management, PO Box 6080, Wolverhampton WV1 9RB.



\*LGBAA079\*