

# Junior ISA/CTF to Junior ISA Transfer Form 2020/2021



Please ensure you have read the current version of the following documents before you make any investment decisions: Key Investor Information, Terms and Conditions and A Guide to Investing In a Junior ISA With Us. You can find all the latest documents by visiting [legalandgeneral.com/investments](https://www.legalandgeneral.com/investments) If you don't understand any point or would like us to send the documents to you, please call **0370 050 0955** and we'll be happy to help. We may record and monitor calls. Call charges will vary. The Terms and Conditions form the basis of our agreement with you.

When the Junior ISA or CTF has been transferred, if the maximum Junior ISA allowance for the current tax year has not been used up, the registered contact, family members or friends can top up the investment with a lump sum payment or by monthly direct debit. You will need to fill in a top up application form to do this so please contact us if you need one.

## 1. About the Child

Forename(s)  
(in full, not just initials)

Surname

Male

Female

Address  
(permanent, UK  
residential address –  
we cannot accept c/o  
details)

Postcode

Date of birth  
(DD/MM/YYYY)  
UK National Insurance  
number (16 or over)

The child named above will be the beneficial owner of the account.

## 2. About the Registered Contact

The registered contact will be the person we communicate with in relation to this investment. They will be the only person who can make decisions regarding the management of this investment. The registered contact **can be the child if they're aged 16 or over** or someone with the rights and duties of a parent for the child.

The child named above is  
the registered contact

Contact tel. number  
(daytime)

Contact tel. number  
(evening)

Email address

FOR OFFICE USE ONLY

Receipt number   Client number   Deal reference   Agent number/stamp

02444461



## 2. About the Registered Contact continued

If the registered contact **is not** the child named in section 1, please complete your details below.

Title	Mr	Mrs	Ms	Miss	Other
Forename(s) (in full, not just initials)					
Surname					
Male					
Female					

If the registered contact's address is different to the child's address stated in section 1, please ensure you complete the following.

Address (permanent, UK residential address – we cannot accept c/o details)				Postcode
Are you a UK tax resident?	Yes	No		
If you are resident outside the UK for tax purposes	Please state in which country or countries you are resident for tax purposes	Please write your tax identification number	Please tick here if you do not have a tax identification number	
If you have ticked that you do not have a tax identification number, please provide the reason here				
Please tick here if you are not resident in any country for tax purposes				
Please provide your town and country of birth	Town	Country		

## 3. More about the Registered Contact

Are you a:	Legal & General employee?	Legal & General shareholder?	Relative or friend of a Legal & General employee/shareholder?
Name of employee/shareholder	(If you ticked the relative or friend box, please write their name below)		

## 4. Existing Junior ISA/Child Trust Fund (CTF) details

### Junior ISA

Details/account number

Is this a Junior:

Cash ISA

Stocks and shares ISA

If it's from a Cash Junior ISA and your provider allows partial transfers, please let us know how much you'd like to transfer to your stocks and shares Junior ISA. If this section is left blank, we will transfer all of the money held in the Junior ISA.

£

How much of the amount you're transferring is from this tax year's Junior ISA allowance?

All

None

Other

£

### Child Trust Fund (CTF)

CTF account number

CTF Unique Reference Number (URN)

Is this a:

Cash CTF

Stocks and shares CTF

Transfer all the CTF investment into our Junior ISA

### You must transfer the whole CTF investment

#### Please note

The following stakeholder CTF features may not be included in a Junior ISA

- lifestyling from age 15;
- minimum subscriptions of £10 allowed;
- annual charge cap of 1.5%.

If you are applying to transfer a stakeholder CTF, please tick this box to confirm that you understand this and wish to proceed.

### Name and address of current Junior ISA/Child Trust Fund Manager

Postcode

Telephone number

## 5. Where to Invest

You can obtain the current version of our Key Investor Information documents for our funds at [legalandgeneral.com/investments](http://legalandgeneral.com/investments) or you can contact us on 0370 050 0955 if you would like us to send them to you. You MUST read the documents before submitting your investment.

Our minimum transfer level is £100. The current annual allowance is £9,000.

Multi-index 3 Fund

%

Multi-index 4 Fund

%

Multi-index 5 Fund

%

Multi-index 6 Fund

%

Multi-index 7 Fund

%

Pick your own

%

%

%

%

%

%

%

%

%

%

%

%

%

%

**Total**

100%

## 6. General Declaration

### I declare that:

- I am 16 years of age or over
- I am the child/I have parental responsibility for the child
- I am the registered contact for this stocks and shares Junior ISA/the Child Trust Fund being transferred
- The child is resident in the UK, or is a UK Crown servant, a dependant of a UK Crown servant or is married to/in a registered civil partnership with a UK Crown servant
- I am not aware that this child is a US person
- I have not subscribed, and will not subscribe, to another stocks and shares Junior ISA for this child
- I am not aware that this child has another stocks and shares Junior ISA
- I am not aware of other Junior ISA subscriptions that will result in this child exceeding the annual limit
- I will not knowingly make subscriptions to Junior ISAs for this child that will, when added up with other subscriptions, result in the overall subscription limit being exceeded for the tax year in which this application is made and in any subsequent tax year.

### I authorise Legal & General:

- to hold the child's subscriptions, Junior ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.
- to make on the child's behalf any claims for tax relief in respect of Junior ISA investments.

### Important please read: Data protection

#### Data Protection

Legal & General takes your privacy very seriously. Under data protection legislation, we have classified Legal & General (Unit Trust Managers) Limited (the "Manager") as a 'data controller' (and in the case of an investment in the OEIC, the Manager and the OEIC as "joint data controllers").

This means that we are subject to certain obligations relating to how we process personal data. These obligations include, without limitation, providing individuals with certain information regarding how we process their personal data.

We will use the personal data you have provided in this application form, including your name, age, contact details, bank account details, transactions and the invested amount in accordance with all applicable data protection laws and our Privacy Policy which is available at [lgim.com/UTMprivacy](https://www.lgim.com/UTMprivacy) (or otherwise available upon request).

Our Privacy Policy sets out, amongst other things, the purpose or purposes for which your personal data is collected and intended to be processed and also contains any other information prescribed by data protection legislation.

#### Communication

In order to process your personal data for the purposes of marketing (which may be carried out by the Manager or entities within the Legal & General group of companies), the Manager, acting as a data controller seeks your consent.

Please tick if you wish to receive marketing communications about the products and services of the Manager and the Legal & General group of companies (including news and exclusive offers) by email.

You may, at any time, object to the use of your personal data and withdraw your consent for the purposes of direct marketing at any time and free of charge by writing to the Data Protection Officer by letter and/or email at the address(es) provided in the Privacy Policy.

#### Access

You have the right to ask for a copy of your information. To obtain a copy of your information, please write to us at the above address.

#### I confirm that:

**The information given in this application is true and correct to the best of my knowledge and belief and I will inform Legal & General without delay of any change in my circumstances affecting any of the information in this form.**

**I understand that a copy of the completed application form is available on request.**

**I have read the declaration carefully.**

**I accept that the Terms and Conditions form the basis of my agreement with Legal & General.**

Please tick this box to confirm you've received the current Key Investor Information document(s) for the Junior ISA(s) you wish to invest in. If you've not received the document(s), please see the front cover for information on how to obtain a copy. We cannot accept your application if you have not ticked this box.

Signature

Date (DD/MM/YYYY)

## 7. Transfer Declaration

### Instruction to existing manager(s)

I authorise the manager of my existing Junior ISA(s)/Child Trust Fund (unique reference number above) to:

1. Sell the Junior ISA/Child Trust Fund assets as detailed (not being less than £100)
2. Transfer the cash realised to the new Junior ISA manager, which will be:  
Legal & General (Unit Trust Managers) Limited,  
PO Box 6080, Wolverhampton WV1 9RB.

HM Revenue & Customs Ref Z1845

3. Provide Legal & General (Unit Trust Managers) Limited with the information, written or non-written, concerning the Junior ISA/Child Trust Fund and to accept any instructions from them relating to the Junior ISA/Child Trust Fund being transferred.

Note: By signing this declaration I understand that a copy of this form will be provided to my existing Junior ISA/Child Trust Fund manager, in order to demonstrate that I have consented to the transfer.

Where I must give notice to close or transfer part of the existing CTF, or the existing CTF contains an investment which is designed and intended to be held for full term and has yet to reach redemption, I instruct my existing CTF provider to either: **(please tick the appropriate box)**

1. wait for the full notice period to end, or wait until redemption (whichever is relevant) before going ahead with this transfer OR
2. depending on the terms and conditions, carry out the transfer as soon as possible – I accept any consequential loss of income, capital or charges that may be applied.

Signature

Date



**Please send your completed application to:  
Legal & General Investment Management, PO Box 6080, Wolverhampton WV1 9RB.**



\*LGBAA081\*

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**Legal & General (Unit Trust Managers) Limited**  
Registered in England and Wales No. 01009418.

**Registered office:** One Coleman Street, London EC2R 5AA.  
We are authorised and regulated by the Financial Conduct Authority.

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