Application Form

to make an additional investment into an existing Legal & General Portfolio Bond

Once you've read all of the product literature and decided which funds you would like to include in this additional investment into your Portfolio Bond, you're ready to invest. Simply complete this application form and send it to the address below, along with your cheque and supporting documentation.

All lives assured and policyholders must be the same as the existing contract.

The terms and conditions relating to your additional investment into your Portfolio Bond are contained in the **Product Guide** and **Schedule**.

We'll send these to you once your additional investment has started. A copy of the **Product Guide** is also available on request.

When completing this application form please note the following:

- This application is for additional investments into an existing Portfolio Bond only. If you do not have an existing Portfolio Bond, please contact your adviser.
- Please use BLOCK CAPITALS in all of your answers.
- If you make a mistake, correct the error by crossing out (do not use correction fluid) and initialling the change.
- This application form includes a number of questions that are designed to protect you and us from financial crime.
- Remember, you should read all of the literature your adviser has given you about Legal & General's Portfolio Bond including the **Key Features, Funds key features** and **illustration** before you proceed. If you don't understand any point, please ask for further information.
- Please make sure you sign and date the Declaration in Part 6.
- Please send this additional investment application to Cofunds Limited, PO Box 1103, Chelmsford, CM99 2XY.
- A copy of the terms and conditions and the completed application form is available on request.

RESIDENCY

The bond is designed for policyholders who are UK residents. You should speak to your adviser to confirm if the bond is still suitable for you if you:

- are currently a non-UK resident or become a non-UK resident after you invest, or
- are subject to tax in another country or become subject to tax in another country after you invest.

The bond is not available for US persons. If you are unsure if you (or if applicable, the trust) are a US person, please speak to your adviser. Further information can be found in the Declaration in Part 6.

This page is to be completed by the adviser

ADVISER DETAILS

Cofunds Intermediary Authorisation Code

Adviser Client/Deal Ref

(Maximum 15 characters)

To meet Financial Conduct Authority (FCA) regulatory reporting requirements, Legal & General must record whether advice was given to your client regarding the sale of this product.

Please tick the relevant box:

Yes ☐ No ☐

Please note that if advice has been given we will not pay any commission in respect of this additional investment. If advice has not been given, we will pay commission.

Name of Adviser

Full name of firm

Principal's Financial Services Register Firm ref no

Quote reference

Quote date

If policy documents are to go to adviser, please tick here ☐

If policy documents are to go to adviser, please fill in address box:
If this is not completed documents will go to the client.

Address

Postcode

SEGMENTATION (FOR ADVISER USE ONLY)

All clients investing through Cofunds must be assigned to a segment. Please complete this section if the customer is not currently segmented or if you wish to change their segment. Please note we will only update the segment of the primary holder. If you wish to update the segments of any other holders you may do so online by visiting the 'Client Servicing' section of the Cofunds website.

Please enter the name of the segment in full using BLOCK CAPITALS:

DIRECTLY AUTHORISED FIRM DECLARATION FOR CONFIRMATION OF VERIFICATION OF IDENTITY (PRIVATE INDIVIDUALS)

Who has been verified? **Full names:**

First applicant/Trustee	Second applicant/Trustee
Third applicant/Trustee	Fourth applicant/Trustee
Fifth applicant/Trustee	Sixth applicant/Trustee

For the verification of attorney(s), third party donor(s), corporate and other non-personal entities, please use the relevant Confirmation of Verification of Identity certificate that can be downloaded from the Document Centre on the Cofunds website. Please note that Legal & General will only accept instructions from trustees who have had their identity verified.

I/We confirm that:

a) the name, address and date of birth information contained in this application was obtained by me/us in relation to the customer(s);

b) the evidence I/we have obtained to verify the identity of the customer(s): (Tick one box only)

☐ meets the standard evidence set out within the guidance for the UK Financial sector issued by the JMLSG; or

☐ exceeds the standard evidence (written details of the further verification evidence taken are attached to this application). If certain circumstances apply to your customer (for example is based overseas or is investing over £250,000), please also attach certified copies of the underlying document(s) used to verify their identity.

EXPLANATORY NOTES

1. Each party, whose identity you have verified, must be named on the previous section (e.g. each trustee, where appropriate). Where a third party is involved, e.g. a payer of contributions who is different from the customer, the identity of that person must also be verified, and a confirmation provided.
2. This confirmation cannot be used to verify the identity of any customer that falls into one of the following categories:
   - those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
   - those who have been subject to Simplified Due Diligence under the Money Laundering Regulations; or
   - those whose identity has been verified using the source of funds as evidence.

Signed	Date
Name	Job title

+apnmlgbondapp

The following sections should be completed by the applicant(s)

Have you spoken to an adviser about this additional investment into your existing Portfolio Bond?

☐ Yes — If 'yes', please confirm the following: Name of adviser firm:

☐ No — Name of adviser:

1 Applicant Details

The applicant is the person, or persons, who is/are investing the money in the bond. They own the bond. We refer to them as the policyholder(s) in everything we send them after the bond is set up.

All applicants must be aged 18 or over and must be currently named as policyholders on the existing Portfolio Bond you're making an additional investment into. If there are more than four applicants, please provide details on a separate sheet of paper and attach it to this application form.

All applicants must sign and date the Declaration in Part 6.

Please note that the details used in the 'first or sole applicant' section will also be used as the correspondence address.

First or sole applicant

Mr/Mrs/Ms/Miss/Other

Surname

Forename(s) (in full)

Date of Birth __ __ / __ __ / __ __ __ __ (D D M M Y Y Y Y)

Main residential address (including postcode and country)

Postcode

Phone number

Previous address (if resident at above address for less than three months)

Postcode

Second applicant

Mr/Mrs/Ms/Miss/Other

Surname

Forename(s) (in full)

Date of Birth __ __ / __ __ / __ __ __ __ (D D M M Y Y Y Y)

Main residential address (including postcode and country)

Postcode

Phone number

Previous address (if resident at above address for less than three months)

Postcode

Only applicants who are providing the money for the investment into the bond should complete the following occupation details.

First or sole applicant:

Occupation

Annual income band

£0 – £14,999	☐	£15,000 – £29,999	☐
£30,000 – £59,999	☐	£60,000 – £99,999	☐
£100,000 – £149,999	☐	£150,000+	☐

Second applicant:

Occupation

Annual income band

£0 – £14,999	☐	£15,000 – £29,999	☐
£30,000 – £59,999	☐	£60,000 – £99,999	☐
£100,000 – £149,999	☐	£150,000+	☐

To protect you, Legal & General and Cofunds from financial crime, we may need to confirm your identity from time to time. We may do this by using reference agencies to search sources of information about you (an identity search). This will not affect your credit rating. If this search fails, we may ask you for documents to confirm your identity.

Third applicant

Mr/Mrs/Ms/Miss/Other

Surname

Forename(s) (in full)

Date of Birth __ __ / __ __ / __ __ __ __ (D D M M Y Y Y Y)

Main residential address (including postcode and country)

Postcode

Phone number

Previous address (if resident at above address for less than three months)

Postcode

Fourth applicant

Mr/Mrs/Ms/Miss/Other

Surname

Forename(s) (in full)

Date of Birth __ __ / __ __ / __ __ __ __ (D D M M Y Y Y Y)

Main residential address (including postcode and country)

Postcode

Phone number

Previous address (if resident at above address for less than three months)

Postcode

Only applicants who are providing the money for the investment into the bond should complete the following occupation details.

Third applicant:

Occupation

Annual income band

£0 – £14,999	☐	£15,000 – £29,999	☐
£30,000 – £59,999	☐	£60,000 – £99,999	☐
£100,000 – £149,999	☐	£150,000+	☐

Fourth applicant:

Occupation

Annual income band

£0 – £14,999	☐	£15,000 – £29,999	☐
£30,000 – £59,999	☐	£60,000 – £99,999	☐
£100,000 – £149,999	☐	£150,000+	☐

To protect you, Legal & General and Cofunds from financial crime, we may need to confirm your identity from time to time. We may do this by using reference agencies to search sources of information about you (an identity search). This will not affect your credit rating. If this search fails, we may ask you for documents to confirm your identity.

2 Life Assured Details

Your additional investment will be set up using the same lives assured that are currently named on your Portfolio Bond. Please see your **Product Guide** for more information on lives assured.

At least one life assured must be aged 89 or less.

3 Investment Details

Additional investments must be in whole pounds only. Minimum £5,000. **Please make your cheque payable to: Cofunds Limited.**

Cheques must be drawn on your own or your joint account. For a Building Society cheque or banker's draft your name must appear on the front of the cheque, or on the back of the cheque accompanied by the Building Society's or Bank's official stamp and signature. If a joint holder, cheques should be drawn by the applicant.

Please state your existing contract number

Amount of additional investment

A. SOURCE OF FUNDS

If funds are being provided in a form other than a personal cheque, please provide details of the personal account or other investment, from which the money was drawn:

Full Account Name

Bank/Building Society or other organisation

Name of Bank/Building Society

Address

Postcode

Bank/Building Society Account Number

Branch Sort Code

– –

Building Society Roll Number/Policy Number (if applicable)

Is this account able to accept direct credit payments? Yes No

We need to know this in case you change your mind and decide to cancel your investment.

B. SOURCE OF INVESTMENT

What's the source of your investment? This section only applies to applicants funding the investment. Tick all boxes that may apply.

	First or sole applicant	Second applicant	Third applicant	Fourth applicant
Accumulated savings from salary				
Retirement fund				
Inheritance				
Sale of property				
Sale or maturity of previous investments				
Divorce settlement				
Other*				

*If Other, please specify details on a separate sheet of paper. Where funded by a third party, please explain circumstances.

If more than four applicants, please provide appropriate details on a separate sheet of paper.

4 Fund Selection

Please make sure you have read the information provided about your chosen fund(s) in the Portfolio Bond Funds Key Features.

If you don't understand any point, please ask for further information.

Which fund(s) do you wish to invest in?

Please complete the percentage to be invested in each fund (maximum two decimal places). Please ensure the total adds up to 100% and that a minimum of £1,000 is invested in each fund selected. Maximum of 50 funds. If you require more than 20 funds please provide details on a separate sheet of paper.

Fund name	**Fund Code as shown in the Fund Key Features**	**% of your investment**
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
TOTAL		**100%**

5 Regular Withdrawals Options

Question 1.
Do you want to take regular withdrawals from your additional investment?

No ☐ Go to Part 6
Yes ☐ Go to Question 2

Question 2.
Have you chosen to invest in any fund(s) other than the following;
Legal & General Distribution Fund, Legal & General Distribution (Growth) Fund, Legal & General Property Fund, Legal & General Managed Bond Fund and/or Legal & General High Income Fund?

No ☐ Go to Question 3
Yes ☐ Go to Section B below

Question 3.
Would you like to take Natural Income?

No ☐ Go to Section B below
Yes ☐ Go to Section A below

A. NATURAL INCOME

If Natural Income is required, the fund(s) selected in Part 4 must be one, or a combination of, the Legal & General Distribution Fund, Legal & General Distribution (Growth) Fund, Legal & General Property Fund, Legal & General Managed Bond Fund and/or Legal & General High Income Fund.

If more than one fund is selected, Natural Income must be taken from all the funds.

I/We would like payments to be made: Twice a year (in June and December) ☐ Once a month (on or shortly before the 14th) ☐

The date of the first payment is determined by the date we complete the processing of your additional investment. Distributions are made in June and December. Units must be purchased at least five working days prior to 30 May or 30 November to get the next distribution. Payments start on the 14th of the following month.

OR

B. REGULAR WITHDRAWALS FROM ANY FUNDS

Only one type of regular withdrawal can apply at any one time.

Minimum payments £20 per month
£50 other frequencies

Maximum payments: 7.5% each year of the amount invested or value of units.

I/We would like to withdraw (Complete ONE box only):

☐ % Each year of the amount of the additional investment **OR** £ ☐ Total each year **OR** ☐ % Each year of the additional investment's value of units

I/We would like payments to be made: (When choosing your start date, please allow a week for us to process your application plus at least one of your chosen periods. For example, if you complete this application on the 1st of the month, you should give us until the 8th to complete the processing of it. If you require monthly payments the earliest start date would be the 8th of the following month.

Once a month ☐ Once every three months ☐ Once every six months ☐ Once a year ☐

Starting on ☐☐☐☐☐☐☐☐
D D M M Y Y Y Y

C. PAYMENT DETAILS

Payments must be made direct to a bank or building society account. Please ensure that the account is able to receive direct credit payments.

Name(s) of account holder(s)

Name and full postal address of your Bank or Building Society Branch

Name of Bank/Building Society

Address

Postcode

Bank/Building Society Account Number

Branch Sort Code
☐☐ – ☐☐ – ☐☐

Building Society Roll Number (if applicable)

6 Declaration

This part must be completed. It must be read, signed and dated by all the applicants stated in Part 1.
I/We declare that the information given in this application is to the best of my/our knowledge and belief, full and accurate.
I/We understand that the terms and conditions relating to my/our additional investment will be contained in the **Product Guide** and **Schedule** that Legal & General will send to me/us on completion of processing the additional investment.
I/We understand that the information in this application shall be the basis of the additional investment into existing policies.
I/We declare that none of the applicants, trustees or trusts named in this application are US persons. For the purposes of this application, US person is defined as:

- Any natural person that is resident in the United States or a citizen of the United States;
- Any partnership or corporation organised or incorporated under the laws of the United States;
- Any estate of which any executor or administrator is a US person;
- Any trust of which any trustee is a US person;
- Any agency or branch of a foreign entity located in the United States;
- Any non-discretionary account or similar account (other than an estate or trust) held by a dealer or other fiduciary for the benefit or account of a US person;
- Any discretionary account or similar account (other than an estate or trust) held by a dealer or other fiduciary organised, incorporated, or (if an individual) resident in the United States;
- Any partnership or corporation if organised or incorporated under the laws of any non-US jurisdiction; and formed by a US person principally for the purpose of investing in securities not registered under the United States Securities Act 1933, unless it is organised or incorporated, and owned by accredited investors who are not natural persons, estates or trusts.

IMPORTANT PLEASE READ: Data Protection
Use of your information:
Legal & General takes your privacy very seriously. We (Legal & General) use the personal information collected via this form and any other information that you provide to us ('your information') for the purposes of:

1. Providing you with our products and services and dealing with your enquiries and requests;
2. Underwriting and administering your policy including processing claims;
3. Carrying out market research, statistical analysis and customer profiling; and
4. Sending you marketing information (by post, telephone, email and SMS) about products and services of companies in the Legal & General group and of third parties whose products and services we offer to our customers. We do not share information with third parties for marketing purposes.

By signing below, you agree to receive the information as described in 4 above, unless you tell us otherwise by ticking this box ☐

Given the global nature of our business, we may need to transfer your information to countries outside the European Economic Area in order to provide our services to you.

Disclosures:
We will disclose your information to other companies within the Legal & General group of companies, regulatory bodies, law enforcement agencies, future owners of our business, suppliers we engage to process data on our behalf and when necessary, to a reassurer.
If you make a claim, we will share your information (where necessary) with other insurance companies to prevent fraudulent claims.
Legal & General will check your details with fraud prevention agencies. If false or inaccurate information is provided and fraud is identified details will be passed to fraud prevention agencies. Law enforcement agencies may access and use this information.
Legal & General and other organisations may also access and use this information to prevent fraud and money laundering, for example, when:

- Checking details on applications for credit and credit related or other facilities;
- Managing credit and credit related accounts or facilities;
- Recovering debt;
- Checking details on proposals and claims for all types of insurance;
- Checking details of job applicants and employees.

Legal & General and other organisations may access and use from other countries the information recorded by fraud prevention agencies.
You can contact Legal & General at: Group Financial Crime, Legal & General Assurance Society, Legal & General House, St Monica's Road, Kingswood, Tadworth, Surrey KT20 6EU if you want to receive details of the relevant fraud prevention agencies.
If you have been dealing with a financial adviser, we will give them information about your product and, where appropriate, provide them with other information about your dealings with us to enable them to give you informed advice.
Where you have been introduced to us by a bank or a building society, we will share your information with them to enable them to:
(a) carry out market research, statistical analysis and customer profiling; and
(b) send you marketing information about their products and services and products and services of companies in the Legal & General group and of third parties whose products and services we offer to our customers.
By signing below, you agree to receive the information as described in (b) above by post or telephone, unless you tell us otherwise by writing to TPM Opt Out, Legal & General Assurance Society, Legal & General House, St Monica's Road, Kingswood, Tadworth, Surrey KT20 6EU.

Access:
You have the right to ask for a copy of your information in return for payment of a small fee. To obtain a copy of your information, please write to Legal & General at the address shown on your most recent customer correspondence.
I/We (the applicants) agree to the use of my/our information as set out above.
If you did not receive advice about making your additional investment into your bond and your most recent investment into your bond included a fund based charge, then a fund based charge will also apply to this additional investment. You can find out if your most recent investment has a fund based charge by looking at the last Schedule we've sent you. Fund based charges are explained in more detail in the Key Features.
If you don't want a fund based charge to apply to this additional investment, please tick this box ☐

For your own benefit and protection you should read these terms and the Key Features, Funds key features and Illustration for the Portfolio Bond and the Cofunds Customer Agreement carefully before signing this declaration. These documents summarise the basis of the agreement between us. If you don't understand any point, please ask for further information.

First or sole applicant signature	Second applicant signature
Date	Date
Third applicant signature	Fourth applicant signature
Date	Date

The application is not valid without all signature(s) and date(s).
If more than four applicants, please provide a signature and date alongside the details on a separate sheet of paper.

7 Declaration – Authority to accept switch instructions from your adviser

Only applicants (and their adviser) that agree to let their adviser switch on their behalf should complete this declaration.

Authorisation by applicant(s)

I/We hereby authorise Legal & General, or its agents, to accept any instruction to switch between funds within my/our Portfolio Bond issued by the adviser named on the first page of this application form. I/We understand that under this authority Legal & General will be entitled to act on a switch instruction from my/our adviser and will not contact me/us for confirmation of the instruction.

I/We hereby acknowledge that Legal & General will not be liable for acting on any switch instructions from, or purporting to come from, my/our adviser.

First signature	Second signature
Date	Date
Third signature	Fourth signature
Date	Date

If more than four applicants, please provide a signature and date alongside the details captured on a separate sheet of paper.

Adviser Declaration
I declare that I am the adviser for the applicant(s) named in this form and I confirm that the applicant(s) has/have authorised me to instruct Legal & General in relation to switching between funds within the Portfolio Bond.

Signature
Date

CHECKLIST FOR ADVISER USE ONLY

- [ ] Is a cheque attached and made payable to: Cofunds Limited?
- [ ] Have all appropriate parts of the application form been completed?
- [ ] Have the declaration(s) been signed and dated by all applicants?
- [ ] Have any alterations been initialled by the applicant(s)?
- [ ] Has the identity of all the applicants been verified and recorded.

Legal & General Assurance Society Limited
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